

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BREYER, STEPHEN G.	2. Court or Organization SUPREME COURT OF THE UNITED STATES	3. Date of Report 06/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUSTICE OF THE SUPREME COURT OF THE UNITED STATES	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Discharge <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input checked="" type="checkbox"/> Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address SUPREME COURT OF UNITED STATES 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
<p>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE (HONORARY)	DANA-FARBER CANCER INSTITUTE
2. JUROR	THE PRITZKER ARCHITECTURE PRIZE
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

06/11/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	PENGUIN RANDOM HOUSE LLC.; ROYALTY INCOME	\$4,415.69
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	PENGUIN RANDOM HOUSE LLC.; ROYALTY INCOME
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Pritzker Prize for Architecture	Jan 20-21, 2018	New York City, NY	Pritzker Jury Meetings	Transportation, Lodging & Meals
2.	Jacksonville World Affairs Council	Jan 22, 2018	Jacksonville, FL	Book Event	Transportation, Lodging & Meals
3.	Federal Bar Council	Feb 9-10, 2018	Nevis	Winter Conference	Transportation & Meals
4.	University of Virginia	Feb 28, 2018	Charlottesville, VA	Speaking Event	Lodging & Meals
5.	Tufts University	April 4, 2018	Cambridge, MA	Speaking Event	Transportation
6.	Pritzker Prize for Architecture	May 17-19, 2018	Toronto, Canada	Pritzker Prize Ceremony	Transportation, Lodging & Meals
7.	Aspen Ideas Fest	July 6-10, 2018	Aspen, CO	Speaking Event	Transportation, Lodging & Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

06/11/2019

8.	Sun Valley Writers Conference	July 19-25, 2018	Sun Valley, UT	Speaking Event	Transportation, Lodging & Meals
9.	Yale University	Aug 12-14, 2018	New Haven, CT	Yale Global Constitutionalism Seminar	Lodging & Meals
10.	Pritzker Organization	Oct 13-20, 2018	Ireland/Spain	Pritzker Fly-Around Program	Transportation, Lodging & Meals
11.	Fulbright France Program	Nov 10-17, 2018	Paris / France	70th Anniversary of Fulbright France	Transportation
12.	Paris Peace Program	Nov 18, 2018	Paris / France	Panel on Global Governance	Transportation
13.					
14.					
15.					
16.					

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	06/11/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

06/11/2019

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT:									
2. DWS TOTAL RETURN BOND FUND-S (FKA DEUTSCHE TOTAL RETURN BOND FUND)-IRA	A	Dividend	K	T					
3. DWS CROCI US FUND-S (FKA DEUTSCHE CROCI US FUND-S)	C	Dividend	L	T					
4. OTHER HOLDINGS:									
5. DWS TOTAL RETURN BOND FUND-S (FKA DEUTSCHE TOTAL RETURN BOND FUND)-IRA	B	Dividend	K	T					
6. BANK OF AMERICA - CHECKING	A	Interest	L	T					
7. TIAA/CREF:									
8. TIAA/CREF - TIAA TRADITIONAL (FIXED ANNUITY)	E	Interest	O	T					
9. TIAA/CREF - TIAA REAL ESTATE	D	Int./Div.	M	T					
10. TIAA/CREF - CREF BOND MARKET R3	A	Int./Div.	M	T					
11. TIAA/CREF - CREF STOCK R3	A	Int./Div.	P1	T					
12. TIAA/CREF - CREF INF-LINKED BOND R3	A	Int./Div.	N	T					
13. TIAA/CREF - CREF STOCK R1	A	Int./Div.	L	T					
14. TRUST:									
15. TAI SHAN FUND	D	Int./Div.	L	T					
16. VANGUARD WINDSOR FUND	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

06/11/2019

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. AUTOMATIC DATA PROCESSING INC COMMON STOCK	C	Dividend	M	T					
18. CINTAS CORP. COMMON STOCK	B	Dividend	L	T					
19. SYSCO CORP. COMMON STOCK	C	Dividend	M	T					
20. VANGUARD 500 INDEX FUND	D	Dividend	O	T					
21. APPLIED ANALYSIS INC - COMMON STOCK		None			Sold	12/31/18	J		
22. APPLIED ANALYSIS INC - PREFERRED STOCK		None			Sold	12/31/18	J		
23. VANGUARD DIVIDEND GROWTH FUND -IV	E	Dividend	M	T					
24. VANGUARD MID-CAP INDEX FUND -A	A	Dividend	K	T					
25. DODGE & COX INTERNATIONAL STOCK FUND	B	Dividend	L	T					
26. ROYCE LOW-PRICED STOCK SERVICE FUND	D	Dividend	K	T					
27. OAKMARK INTERNATIONAL FUND - I	C	Dividend	L	T					
28. FEDERATED US TREASURY CASH RSV FD-I	A	Dividend	L	T					
29. VANGUARD SMALL CAP INDEX-INV	A	Dividend	K	T					
30. FIDELITY MA MUNICIPAL INCOME	A	Dividend	K	T					
31. FASTENAL CO.	B	Dividend	L	T					
32. US TREASURY NOTES 1.375% 6/30/18	C	Interest			Sold (part)	04/02/18	L		
33.					Sold (part)	05/21/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

06/11/2019

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34.					Matured	06/30/18	M		
35. US TREASURY NOTES 1.500% 5/31/19	C	Interest	N	T					
36. US TREASURY NOTES 1.625% 3/15/20	B	Interest	L	T					
37. US TREASURY NOTES 3.125% 5/15/21	B	Interest	M	T	Buy	07/03/18	M		
38. US TREASURY NOTES 2.250% 7/31/21	A	Interest	K	T	Buy	03/07/18	K		
39. OTHER ASSETS:									
40. TIAA/CREF RETIREMENT ACCOUNTS:									
41. TIAA/CREF - TIAA TRADITIONAL (FIXED ANNUITY)	C	Interest	L	T					
42. TIAA/CREF - CREF STOCK R2	A	Int./Div.	L	T					
43. SK-N-A NATIONAL BANK SAVINGS ACCT	A	Interest	K	T					
44. PEARSON PLC ORD. STOCK	D	Dividend	N	T					
45. RENTAL PROPERTY - NEVIS WEST INDIES	E	Rent	N	W					
46. LAND IN PLAINFIELD, NH		None	N	W					
47. LOWES COMPANIES INC - COMMON STOCK	B	Dividend	M	T					
48. PACCAR INC - COMMON STOCK	C	Dividend	K	T	Sold (part)	01/22/18	K	E	
49.					Sold (part)	02/16/18	K	E	
50.					Sold (part)	06/21/18	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

06/11/2019

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51.					Sold (part)	08/13/18	K	E	
52. UNITED TECHNOLOGIES CORP - COMMON STOCK	C	Dividend	M	T					
53. SSGA MONEY MARKET FUND	A	Dividend	L	T					
54. LEXINGTON MA GO 4% 2/15/21	C	Interest	M	T					
55. STANCROFT TRUST LIMITED	E	Dividend	O	W					
56. FIRST CARIBBEAN INTERNATIONAL BANK - CHECKING		None	K	T					
57. EATON VANCE LARGE CAP GROWTH FUND - I	F	Dividend	N	T					
58. EATON VANCE LARGE CAP VALUE FUND - I	E	Dividend	N	T					
59. EATON VANCE TAX M S/C	C	Dividend	L	T					
60. EATON VANCE ATLANTA SM CP-I	D	Dividend	L	T					
61. VANGUARD INT-TERM CORPORATE	B	Dividend	L	T	Sold (part)	11/20/18	J		
62. DFCI RETIREMENT PLAN (401A) (FIDELITY INVESTMENTS) - NO CONTROL	C	Dividend	K	T					
63. ZELFI SPORTS HOLDING LTD.		None			Sold	06/06/18	L	F	
64. TOPGOLF INTERNATIONAL, INC.		None	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
BREYER, STEPHEN G.	06/11/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE REPORT WAS PREPARED BY:

CITRIN COOPERMAN & COMPANY, LLP
529 FIFTH AVENUE
NEW YORK, NEW YORK 10017

PART VII, LINES 63-64:
SHARES OF ZELFI SPORTS HOLDING LTD (HELD BY MY SPOUSE) WERE ACQUIRED IN 2002 AND WERE SOLD IN 2018. SHARES OF TOPGOLF INTERNATIONAL, INC. (HELD BY MY SPOUSE) WERE ACQUIRED IN 2006. BOTH ASSETS WERE INADVERTENTLY OMITTED FROM PRIOR FINANCIAL DISCLOSURE REPORTS. PRIOR REPORTS WILL BE AMENDED TO REFLECT THESE HOLDINGS.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

BREYER, STEPHEN G.

Date of Report

06/11/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **STEPHEN G. BREYER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544